

General Grant Information

Country	India				
Grant Number	IDA-607-G11-H	Component	HIV/AIDS	Round	6
Grant Title	Expanding Access to Anti-Retroviral Treatment & Counseling & Testing Facilities in Focus Geographic Areas of India				
Principal Recipient	The Department of Economic Affairs of the Government of India				
Total Lifetime Budget	\$ 259,211,571	Phase 1 Grant Amount	\$ 63,866,000	Phase 2 Grant Amount	
Grant Start Date	01 Jul 2007	Phase 1 End Date	30 Jun 2009	Phase 2 End Date	
Disbursed Amount	\$ 59,127,314	% of Grant Amount	93%	Latest Rating	B1
Time Elapse (at the end of the latest reporting period)	18 months	% of Grant Duration	75%	Proposal Lifetime	60 months

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1. Program Description and Contextual Information

1.1. Program Description Summary

The Phase 2 Grant Agreement is currently under negotiations. The incremental amount is \$97,852,982.

The overall objective of the Program is to provide ART services by increasing the number of ART centers and related activities such as Integrated Counseling and Testing Centers (ICTCs) for expanding access and Scaling up Care, Support and Treatment. The Program will also provide access to treatment and prophylaxis of Opportunistic Infections (OI). It is designed that the services will cater to the People Living with HIV/AIDS (PLHA) particularly below the poverty line and those with low income levels. These are people who seek services from the public health system. Additional ART Centers will be established in Focus Geographic Areas to provide treatment to PLHA, including children.

Towards this end, capacity building of the staff and infrastructure strengthening at the ART and ICTCs will be undertaken. The related aspects of early detection of HIV infections in infants exposed to risk through the DNA-PCR testing and CD4 testing has been included as part of the Program. Establishment of Community Care Centers (CCC) will provide the linkage between services for Treatment, Care and Support. These will provide services to PHLAs requiring a short period of institutional care. Two way linkages between the ART Centres and the CCCs will facilitate promotion of adherence and coping mechanisms. Through outreach workers these CCCs will identify and monitor PLHA on ART for treatment adherence. From being stand alone hospices, the CCCs will be integrated with the health system. All CCCs will be run and managed by NGOs.

District Level networks of PHLA are proposed to be established in 100 districts of 3 highly vulnerable states: Uttar Pradesh, Madhya Pradesh and Rajasthan and will provide the outreach for mobilization of the people for testing, Prevention of Parent to Child Transmission (PPTCT) and for treatment adherence. In the states of Gujarat, West Bengal, Bihar, Chattisgarh and Orissa, the outreach and mobilization for testing, PPTCT and for treatment adherence will be done by the health outposts of faith based organizations. In the case of other states it will be carried out through the general outreach of the CCCs. The CCCs will provide care and support to about 170,000 PHLA by end of the Program period. Out of the 228 additional CCCs, 100 would be established and managed by PFI and the balance 128 would be established and managed through sub-granting from NACO.

In formulating a public policy response to the issue related mitigation of the impact of HIV/AIDS on vulnerable populations, namely women and children a pilot project will be undertaken by the Principal Recipient in four states by a consortium of NGOs. The Program will reach out to about 64,000 Children/women Living with HIV/AIDS and Children/women affected by AIDS in the four states of Tamil Nadu, Maharashtra, Andhra Pradesh and Manipur. A package of services will be provided consisting of various components: education, nutrition, income generation, facilitation of access to ART services and support for access to hygiene etc. These services will be provided by forging linkages with the concerned departments of Rural Development, Women and Child Development and Social Justice and Empowerment, Education etc. The Program will carry out advocacy to reduce stigma and discrimination and also evolve models on mainstreaming the issues of children and women into ongoing activities of the different departments.

2. Goals: To reduce HIV related morbidity and mortality in adults and children and to mitigate the impact of HIV in children and women headed households

3. Target Group/Beneficiaries: Persons infected with HIV/AIDS and requiring treatment for Opportunistic Infections and ART

4. Strategies:

- Increasing the proportion of people living with HIV/AIDS receiving care, support and treatment;
- Strengthening the infrastructure, systems and human resources in prevention, care, support and treatment programs at the district, state and national levels;
- Strengthening the monitoring and evaluation system for ensuring high levels of drug adherence and patient care.

5. Planned Activities:

The following activities will be implemented to achieve the Program Objectives:

Objective 1. Expanding access to counseling and testing

- Establishing Integrated Counseling and Testing Centers (ICTCs) in the Focus Geographic Areas;
- Ensuring access to the services such as Information, Education and Communication (IEC), Behavior Change Communication (BCC), Condom Promotion, Sexually Transmitted Infections (STI) treatment linkages, prophylaxis and early management of OI, DOTS for TB and Antiretroviral treatment (ART) through the ART Centres.
- Providing the infrastructure facilities for establishment of new ICTCs, including equipment, recruitment and training of staff- laboratory technicians, counselors, and IEC activities for demand generation and patient information.

Objective 2. Widening access to treatment (including ART)

- Establishing ART centers;
- Providing treatment through the ART centers
- Providing CD4 machines to certain ART centers (based on the patient load) and ensuring that the ART centers that do not have CD4 machines are linked to the hospitals having the diagnostic facilities;
- Providing ART to children. In order to diagnose the status of infants exposed to HIV risk at an early stage after their birth, DNA PCR testing will be taken.
- Providing ARV and OI drugs not only to ART centres but also CCC and other hospitals in the Public Sector to all ART Centers;
- Ensuring appropriate staffing norms at the ART Centers. The ART centers personnel will consist of Senior Medical Officer, Medical Officer, Lab Technician, Counselors, Pharmacist, Statistical Assistant, Peer Educator and Programme Coordinator as per norms.
- Ensuring appropriate and regular training to all personnel after recruitment using a standardized training curriculum.

Objective 3. Ensuring access to OI treatment and improving drug adherence by establishing Community Care Centers

- Establishing CCCs;
- Providing Drugs for treatment of OIs
- Ensuring appropriate staffing norms and drugs availability in the CCCs;
- Ensuring drug adherence through provisioning of five day inpatient care and counseling on drug adherence, nutrition, preventive behavior, such as use of condoms;
- Identifying patients addresses and ensuring follow up for drug adherence through house visits;
- Ensuring regular follow up for monthly check ups.

Objective 4. Strengthening Information Systems and Operational Research

- Providing smart cards to the ART patients as per plan to ensure drug adherence and regular follow up.
- Developing a network on the basis of their computerized records.
- Providing both hardware and software and adequate staff training.
- Ensuring that the patients' cards are maintained in the CCCs and ICTCs and included in the Computerized Management Information System;
- Maintaining computerized beneficiary records;
- Establishing a full fledged monitoring and evaluation system.

1.2. Country Latest Statistics

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Background and Health Spending	Estimate	Year	Source
Total population (in 1000s)	1,198,003	2009	United Nations. World Population Prospects: The 2008 Revision.
Pop age 0-4 (in 1000s)	126,894	2005	United Nations. World Population Prospects: The 2006 Revision.
Pop age 15-49 (in 1000s)	591,419	2005	United Nations. World Population Prospects: The 2006 Revision.
GNI per capita, Atlas method (current US\$)	950	2007	World Bank. World Development Indicators database (http://devdata.worldbank.org/data-query/) accessed on November 17, 2008
Income level	Lower middle income	2007	World Bank. World Development Indicators database (http://devdata.worldbank.org/data-query/) accessed on November 17, 2008
Under-5 mortality rate (per 1000)	76	2006	WHO. World Health Statistics 2008 (http://www.who.int/whosis/whostat/EN_WHS08_
Physicians (number)	645,825	2004	WHO. World Health Statistics 2008 (http://www.who.int/whosis/whostat/EN_WHS08_
Nursing and midwifery personnel (number)	1,372,059	2004	WHO. World Health Statistics 2008 (http://www.who.int/whosis/whostat/EN_WHS08_
Total health expenditure per capita (USD)	36	2005	WHO. World Health Statistics 2008 (http://www.who.int/whosis/whostat/EN_WHS08_
Human Development Index (HDI)	Medium	2006	UNDP. Human Development Indices: A statistical update 2008 (http://hdr.undp.org/en/media/HDI_2008_EN_Co
HIV/AIDS	Estimate	Year	Source
Adult HIV prevalence (%)	0.3	2007	UNAIDS. 2008 Report on the global AIDS epidemic (http://www.unaids.org/en/KnowledgeCentre/HIVData/GlobalReport/2008/2008_Global_report.as
People living with HIV/AIDS	2,400,000	2007	UNAIDS. 2008 Report on the global AIDS epidemic (http://www.unaids.org/en/KnowledgeCentre/HIVData/GlobalReport/2008/2008_Global_report.as
Estimated number of people receiving ARV therapy	158,000	2007	WHO. Towards Universal Access Progress Report 2008 (http://www.who.int/hiv/mediacentre/en/index.ht

1.3. Comments on Key Discrepancies between Approved Proposal and Grant

N/A

1.4. Initial PR Assessments

Assessment Area	Rating	Summary of Recommendations/Action Required and Taken
Overall	x	
Financial Management and Systems	x	
Institutional and Programmatic	x	

1.5. Conditions Precedent

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Condition Precedent	Tied To	Terminal Date	Is currently met?	Comments
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has completed capacity assessments of the State AIDS Control Societies (the "SACS") in Focus Geographical Areas and key non governmental Sub-recipients, including the Karnataka Health Promotion Trust, and, based on the results of those assessments, initiated capacity building initiatives for each of the assessed entities as per NACPIII strategy;	Disbursement		Yes	
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has fully integrated the Global Fund Program grants management and reporting requirements in its revised CPFMS computerized accounting system, and further conforming to the Finance Reform Plan of NACPIII and allowing Principal Recipient to adequately tracks and manages the Sub-recipient of Grant funds (including SACS') activities and financial performance;	Disbursement		In Progress	A computerized accounting system is not yet operational and is not being used to record/ report expenditure/ financial progress. It was explained by the PR that the same is in a testing phase and will be operational within the quarter ending June 2009.
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has appointed 1 (one) accountant and 1 (one) data entry operator in Accounts and Finance Unit (AFU) in each of the Focus Geographic Areas and strengthened the Project Financial Management Unit (PFMU) of Principal Recipient as per NACPIII, including the appointment of 1 (one) finance manager to manage the Program, all of which with the appropriate expertise and experience;	Disbursement		In Progress	It was explained by the PR that appointments are done at the SR level and that all the positions are filled, however, details of staff appointed were not available at PR and it was explained that the same is available is SR level. The LFA visited 1 SR (Punjab SACS) during the Phase 2 review, which had the staff in place. At PR level, finance manager is in place.
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that an internal audit plan and Terms of Reference for auditors to cover SACS are in place by no later than 31 October 2007;	Other	31.Oct.07	Yes	
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has established operational National Steering Committee on Donor Coordination under NACP III in its headquarters in Delhi, and State Steering Committees on Donor Coordination under the supervision of the SACS, in all states included in the Geographical Focus Area;	Disbursement		Yes	
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal recipients of other Global Fund grant funds (currently Alliance India and PFI) can actively participate in those State Steering Committees on Donor Coordination as representatives of the development partners operating in the state;	Disbursement		Yes	
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has established a system of coordination and reporting ensuring that PFI and Alliance India are regularly informed of national and state level coordination meetings and directives related to the Program and the programs being implemented by PFI and Alliance India;	Disbursement		Yes	
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that key staff of Principal Recipient and SACS have attended Global Fund organized regional training in Financial Management, Monitoring & Evaluation, and Procurement.	Disbursement		Yes	

Condition Precedent	Tied To	Terminal Date	Is currently met?	Comments
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient has developed an operational plan for Monitoring & Evaluation as per the national M&E strategy of NACPIII;	Disbursement		Yes	
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that Principal Recipient, in consultation with key implementation partners and international donors, has finalized a joint monitoring plan to assess the outcomes the first year of NACPIII implementation;	Disbursement		Yes	
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that District AIDS Coordination Committees are functional in Geographic Focus Areas and that principal recipients of other Global Fund grant funds (currently Alliance India and PFI) are an integral part of those District AIDS Coordination Committees;	Disbursement		In Progress	As explained by the PR, the District AIDS Prevention and Control Units (DAPCU) are in the process of being created and operationalized. As per the CMIS of NACO, upto December 2008, 36 DAPCU were set up in the Country.
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that the Action Plan for Training and Capacity Building under NACPIII is under implementation;	Disbursement		Yes	
The delivery by the Principal Recipient to the Global Fund, in form and substance satisfactory to the Global Fund, of evidence that nongovernmental Sub-recipients are receiving funds from NACO and the SACS in a timely manner following the provision of adequate disbursement requests.	Disbursement		Yes	
Special Terms and Conditions: The Principal Recipient representations under the taxes and duties provisions and Article 4(a) shall be limited to a representation that no grant funds shall be used to finance any customs duties, tariffs, import taxes, or other similar levies and taxes associated with the import, manufacture or sale of products or commodities or the procurement of services for the program assessed under laws in effect in the host country. In the event that such taxes or suites are levied, the Principal Recipient shall ensure that such taxes and duties are paid from sources other than grant proceeds.	Other		In Progress	
Special Terms and Conditions: The Auditor selected by the Principal Recipient under Article 7(b) shall be the Controller and Auditor General of the Government of India	Other		In Progress	
Special Terms and Conditions: For the purpose of Article 7(e) use of term "Audits" shall mean financial and programmatic audits of accounts and records relating to the financial management and programmatic implementation of the program;	Other		In Progress	
Special Terms and Conditions: For the purpose of Article 7(f), the terms "books and records" shall mean those books, records and other materials maintained by the Principal Recipient with respect to the financial management and programmatic implementation of the program.	Other		In Progress	
Special Terms and Conditions: The parties recognize that the purpose of article 13(g) is to permit the Global Fund or its representative to perform "ad hoc" site visits as may be reasonably required to ensure sound management of the program. The Global Fund will use its best efforts to ensure, however, that these visits be coordinated with the Principal Recipient as reasonably necessary and to the extent such coordination does not undermine the purpose of the visits;	Other		In Progress	

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Condition Precedent	Tied To	Terminal Date	Is currently met?	Comments
Special Terms and Conditions: In the event the Global Fund decided to change the LFA, the Global Fund shall inform with the country coordinating mechanism prior to appointing a new entity to serve as LFA.	Other		In Progress	
Special Terms and Conditions: The Global Fund and the Principal Recipient commit to use their best efforts to resolve any issues related to procurement under the program in a collaborative fashion	Other		In Progress	
Special Terms and Conditions: The Department of Economic Affairs of the Government of India hereby confirms that the National AIDS Control Organization of the Ministry of Health and Family Welfare will be implementing agency for the purpose of this agreement;	Other		In Progress	
Special Terms and Conditions: The staff recruited in accordance with the Sub-section B1.c of this Annex A, or staff with equivalent expertise and experience, shall remain in place for the duration of the Program.	Other		In Progress	

2. Key Grant Performance Information

2.1. Program Goals, Impact and Outcome Indicators

Goal 1											
To reduce HIV related morbidity and mortality in adults and children											
Impact indicator	% of adults and children with HIV still alive 12 months after initiation of antiretroviral therapy (extend to 2, 3, 5 years as program matures)							Baselines			
								Value	Year		
								Not available	2007		
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	
Target	75	80	85	85	85						
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	

Impact indicator	% of adults aged 15-49 who are HIV infected							Baselines			
								Value	Year		
								0.36	2006		
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	
Target	0.35	0.33	0.34	0.33	0.33						
Result	0.36%										

Goal 2											
To mitigate the impact of HIV in children and women headed households											
Impact indicator	% of infants born to HIV infected mothers who are infected							Baselines			
								Value	Year		
								22	2007		
Impact indicator	% of infants born to HIV infected mothers who are infected							Baselines			
								Value	Year		
								0.57	2006		
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	
Target			20	18	16						
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	

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2.2. Programmatic Performance

2.2.1. Reporting Periods

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
N/A	01.Jul.07 30.Sep.07	01.Oct.07 31.Dec.07	01.Jan.08 31.Mar.08	01.Apr.08 30.Jun.08	01.Jul.08 30.Sep.08	01.Oct.08 31.Dec.08	01.Jan.09 31.Mar.09	01.Apr.09 30.Jun.09

2.2.2. Program Objectives, Service Delivery Areas and Indicators

Objective 1 - Widen access to treatment (including ART) and expanding access to counseling and testing

Treatment: Antiretroviral treatment (ARV) and monitoring

Indicator 1.1 - Number of people (adults) currently receiving Antiretroviral therapy (Round VI States)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	13,000 13,000	Y	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	3,500	7,000	10,500	14,000	17,500	21,000	24,500	28,000
Result	Pending result	11,100	Pending result	17,970	26,593	40,232	Pending result	

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	50,000	58,000	66,000	75,000	79,000	83,000	86,000	90,000
Result								

	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	92,000	94,000	97,000	100,000				
Result								

Indicator 1.2 - Number of children currently receiving Antiretroviral therapy (All States)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	1,800 18001,800 1,800 1800	Y	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	2,000	5,000	7,500	10,000	11,000	12,000	13,500	15,000
Result	Pending result	6,547	Pending result	8,711	10,483	13,197	Pending result	

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	16,000	17,000	18,500	20,000	21,000	22,000	23,500	25,000
Result								

	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	26,000	28,000	29,000	30,000				
Result								

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Indicator 1.3 - Number of new ART Centers established (Round VI States)

	Baseline								Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year								
Level 2-Service Points supported	36	Y							N	N
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Target	6	12	15	18	22	26	26	26		
Result	Pending result	9	Pending result	18	18	18	Pending result			
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16		
Target	26	26	38	38	38	38	54	54		
Result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24		
Target	54	54	59	64				54		
Result										

Indicator 1.4 - Number of health care facilities with laboratory capacity to conduct CD4 counts (Round6 states)

	Baseline								Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year								
Level 2-Service Points supported	24	Y							N	N
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Target			20	20	20	20	38	38		
Result			Pending result	23	45	27	Pending result			
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16		
Target	38	38	71	71	71	71	81	81		
Result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24		
Target	81	81	81	81						
Result										

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Indicator 1.5 - Number of CD4 tests performed (R6 states)									
	Baseline							Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year							
Level 2-Service Points supported	26000	Y						N	N
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8	
Target	7,000	14,000	21,000	28,000	35,000	42,000	49,000	56,000	
Result	Pending result	29,071	Pending result	63,077	85,339	109,314	Pending result		
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16	
Target	150,000	180,000	210,000	250,000	260,000	275,000	285,000	300,000	
Result									
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24	
Target	310,000	320,000	340,000	360,000					
Result									

Prevention: Counseling and testing									
Indicator 1.6 - Number of people counselled and tested for HIV including provision of results at ICTC (R6 states)									
	Baseline							Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year							
Level 3-People reached	2,206,032	Y						Y	N
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8	
Target	700,000	1,500,000	2,400,000	3,400,000	4,400,000	5,600,000	6,900,000	8,300,000	
Result	Pending result	1,783,523	Pending result	3,034,687	3,686,274	4,506,246	Pending result		
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16	
Target	9,800,000	11,300,000	12,800,000	14,300,000	15,800,000	17,300,000	18,800,000	20,300,000	
Result									
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24	
Target	21,800,000	23,300,000	24,800,000	26,300,000					
Result									

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Objective 2 - Ensure access to OI treatment and improve drug adherence by establishing Community Care Centres

Treatment: Prophylaxis and treatment for opportunistic infections

Indicator 2.1 - Number of cases of OI treated (R6 states)

	Baseline								Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year								
Level 3-People reached	Not available	N/A	Y						Y	N
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Target	50,000	100,000	150,000	200,000	250,000	300,000	350,000	400,000		
Result	Pending result	22,132	Pending result	82,032	126,759	176,091	Pending result			
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16		
Target	450,000	500,000	550,000	600,000	650,000	700,000	750,000	800,000		
Result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24		
Target	850,000	900,000	950,000	1,000,000						
Result										

Prevention: Counseling and testing

Indicator 2.2 - Number of ICTCs set up (R6 states)

	Baseline								Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year								
Level 2-Service Points supported	912	Y							N	N
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Target	100	250	450	630	786	941	1,096	1,252		
Result	Pending result	250	Pending result	630	850	1,087	Pending result			
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16		
Target	2,116	2,116	2,116	2,116	2,116	2,116	2,116	2,116		
Result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24		
Target	2,116	2,116	2,116	2,116						
Result										

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Care and Support: Care and support for the chronically ill

Indicator 2.3 - Number of Community Care Centers established (all states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 2-Service Points supported	122	Y	N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	8	20	32	47	62	77	92	106
Result	Pending result	24	Pending result	81	90	92	Pending result	
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	106	106	112	112	112	112	128	128
Result								
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	128	128	128	128				
Result								

Indicator 2.4 - Number of PLHAs provided care at Community Care Centers (all states)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	N/A	Y	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	1,750	3,500	5,250	7,000	8,750	10,500	12,250	14,000
Result	Pending result	156,476	Pending result	55,015	43,550	53,313	Pending result	
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	60,000	70,000	80,000	90,000	100,000	110,000	120,000	130,000
Result								
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24
Target	135,000	140,000	145,000	150,000				
Result								

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Objective 3 - Mitigate the impact of HIV on children and women										
Supportive Environment: Coordination and partnership development (national, community, public-private)										
Indicator 3.1 - Number of ART staff and CCC staff trained in counselling services and referral.										
	Baseline								Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year								
Level 1-People trained	180	Y							Y	Y
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Target	30	60	75	90	110	130	130	130		
Result	Pending result	146	Pending result	342	448	548	Pending result			
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16		
Target	550	600	650	675	700	757	757	757		
Result										
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24		
Target	780	800	800	800						
Result										

HSS: Information system & Operational research**Indicator 3.2 - Number of PLHAs (Adults & Children) on ART issued smart cards (R4 states)**

	Baseline							Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year							
Level 2-Service Points supported	0	Y						N	N
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8	
Target	0	0	7,500	15,000	22,500	30,000	37,500	45,000	
Result			Pending result	0	0	0	Pending result		
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16	
Target	0	0	0	N: 50,000 D: 200,000 P: 25%	N: 75,000 D: 197,368 P: 38%	N: 100,000 D: 200,000 P: 50%	N: 150,000 D: 200,000 P: 75%	N: 200,000 D: 200,000 P: 100%	
Result									
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24	
Target	N: 200,000 D: 200,000 P: 100%	N: 200,000 D: 200,000 P: 100%	N: 200,000 D: 200,000 P: 100%	N: 200,000 D: 200,000 P: 100%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result									

Indicator 3.3 - Number of Operation Research Projects completed and results disseminated
6

	Baseline							Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year							
Level 0-Process/Activity Indicator	0	Y						N	N
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8	
Target	0	0	1	2	2	2	2	4	
Result			Pending result	2	2	2	Pending result		
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16	
Target	4	4	4	4	6	6	6	6	
Result									
	Period 17	Period 18	Period 19	Period 20	Period 21	Period 22	Period 23	Period 24	
Target	6	6	8	8					
Result									

2.2.3. Cumulative Progress To Date

Latest reporting due period : 7 (01.Jan.09 - 31.Mar.09)

Objective 1	Widen access to treatment (including ART) and expanding access to counseling and testing
-------------	---

SDA	Treatment: Antiretroviral treatment (ARV) and monitoring
-----	--

Indicator 1.1 - Number of people (adults) currently receiving Antiretroviral therapy (Round VI States)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	7	24,500	6	40,232					120%	

Indicator 1.2 - Number of children currently receiving Antiretroviral therapy (All States)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	7	13,500	6	13,197					98%	

Indicator 1.3 - Number of new ART Centers established (Round VI States)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	7	26	6	18					69%	

Indicator 1.4 - Number of health care facilities with laboratory capacity to conduct CD4 counts (Round6 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	7	38	6	27					71%	

Indicator 1.5 - Number of CD4 tests performed (R6 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	7	49,000	6	109,314					120%	

SDA	Prevention: Counseling and testing
-----	------------------------------------

Indicator 1.6 - Number of people counselled and tested for HIV including provision of results at ICTC (R6 states)

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	7	6,900,000	6	4,506,246					65%	

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Objective 2	Ensure access to OI treatment and improve drug adherence by establishing Community Care Centres									
SDA	Treatment: Prophylaxis and treatment for opportunistic infections									
Indicator 2.1 - Number of cases of OI treated (R6 states)										
	Target		Result							
	Period	Value	Period	Value	0%	30%	60%	90%	100%	
Level 3-People reached	7	350,000	6	176,091						50%

SDA	Prevention: Counseling and testing									
Indicator 2.2 - Number of ICTCs set up (R6 states)										
	Target		Result							
	Period	Value	Period	Value	0%	30%	60%	90%	100%	
Level 2-Service Points supported	7	1,096	6	1,087						99%

SDA	Care and Support: Care and support for the chronically ill									
Indicator 2.3 - Number of Community Care Centers established (all states)										
	Target		Result							
	Period	Value	Period	Value	0%	30%	60%	90%	100%	
Level 2-Service Points supported	7	92	6	92						100%

Indicator 2.4 - Number of PLHAs provided care at Community Care Centers (all states)										
	Target		Result							
	Period	Value	Period	Value	0%	30%	60%	90%	100%	
Level 3-People reached	7	12,250	6	53,313						120%

Objective 3	Mitigate the impact of HIV on children and women									
SDA	Supportive Environment: Coordination and partnership development (national, community, public-private)									
Indicator 3.1 - Number of ART staff and CCC staff trained in counselling services and referral.										
	Target		Result							
	Period	Value	Period	Value	0%	30%	60%	90%	100%	
Level 1-People trained	7	130	6	548						120%

SDA	HSS: Information system & Operational research									
Indicator 3.2 - Number of PLHAs (Adults & Children) on ART issued smart cards (R4 states)										
	Target		Result							
	Period	Value	Period	Value	0%	30%	60%	90%	100%	
Level 2-Service Points supported	7	37,500	6	0						0%

Indicator 3.3 - Number of Operation Research Projects completed and results disseminated										
6										
	Target		Result							
	Period	Value	Period	Value	0%	30%	60%	90%	100%	
Level 0-Process/Activity Indicator	7	2	6	2						100%

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2.3. Financial Performance

2.3.1. Grant Financial Key Performance Indicators (KPIs)

Grant Duration (months)	24 months	Grant Amount	63,866,000 \$
% Time Elapsed (as of end date of the latest PU)	75%	% disbursed by TGF (to date)	93%
Time Remaining (as of end date of the latest PU)	6 months	Disbursed by TGF (to date)	59,127,314 \$
Expenditures Rate (as of end date of the latest PU)	91%	Funds Remaining (to date)	4,738,686 \$

2.3.2. Program Budget

	Budget Period 1	Budget Period 2	Budget Period 3	Budget Period 4	Budget Period 5	Budget Period 6	Budget Period 7	Budget Period 8
Period Covered From:	01.Jul.07	01.Oct.07	01.Jan.08	01.Apr.08	01.Jul.08	01.Oct.08	01.Jan.09	01.Apr.09
Period Covered To:	30.Sep.07	31.Dec.07	31.Mar.08	30.Jun.08	30.Sep.08	31.Dec.08	31.Mar.09	30.Jun.09
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	4,912,167	11,813,000	16,731,833	30,849,556	36,405,389	43,648,500	48,512,001	63,866,000
Summary Period Budget:	4,912,167	6,900,833	4,918,833	14,117,723	5,555,833	7,243,111	4,863,501	15,353,999

Expenditure Categories

Program Activities

Implementing Entities

	Budget Period 9	Budget Period 10	Budget Period 11	Budget Period 12	Budget Period 13	Budget Period 14	Budget Period 15	Budget Period 16
Period Covered From:	01.Jul.09	01.Oct.09	01.Jan.10	01.Apr.10	01.Jul.10	01.Oct.10	01.Jan.11	01.Apr.11
Period Covered To:	30.Sep.09	31.Dec.09	31.Mar.10	30.Jun.10	30.Sep.10	31.Dec.10	31.Mar.11	30.Jun.11
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	72,637,736	72,637,736	72,637,736	72,637,736	72,637,736	72,637,736	72,637,736	72,637,736
Summary Period Budget:	8,771,736							

Expenditure Categories

Program Activities

Implementing Entities

- Comments and additional information

The Phase 2 budget is currently being finalized.

2.3.3. Program Expenditures

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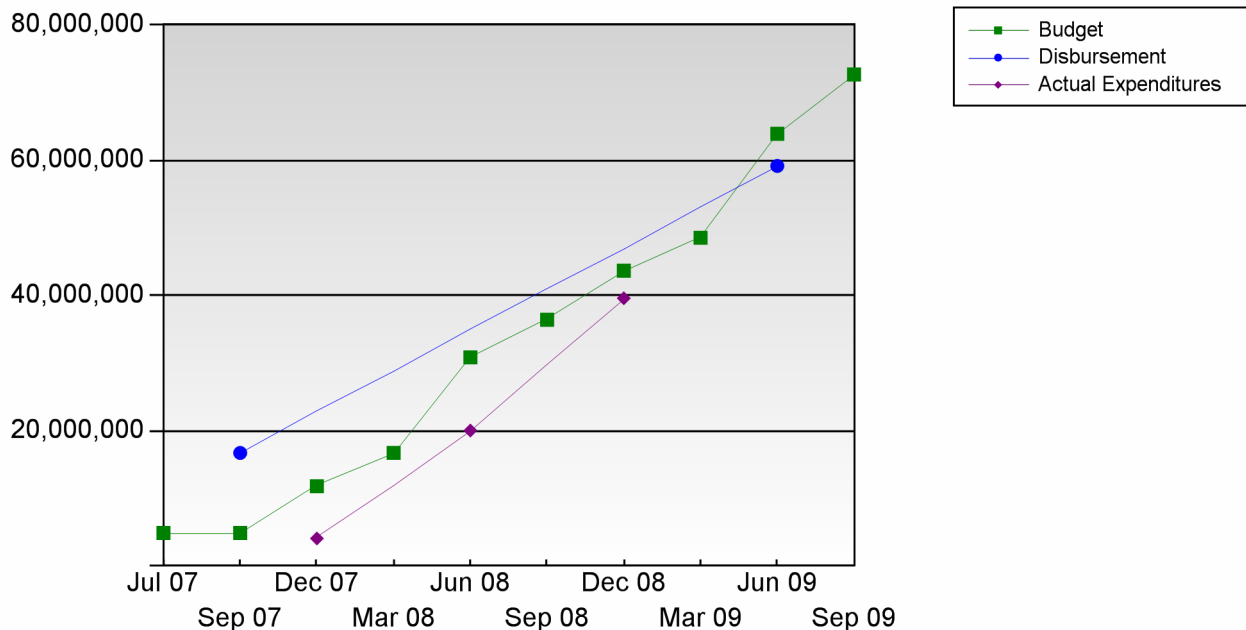
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Period PU3: 01.Jul.08 - 31.Dec.08	Actual Expenditures	Cumulative Budget	Cumulative Expenditures	Variance	Reason for variance
1. Total actual expenditures vs. budget	\$ 19,530,860	\$ 43,648,500	\$ 39,576,480	\$ 4,072,020	Excess expenditure during the PU period is mainly on account of bulk procurement of drugs to cater higher no. of PLHAs on ART.
1a. PR's Total expenditure	\$ 7,791,329		\$ 14,199,171		
1b. Disbursements to sub-recipients	\$ 11,739,531		\$ 25,377,309		
2. Health product expenditures vs. Budget (already included in "Total Actual" above)	\$ 7,983,315		\$ 14,478,690		Since the inception of the grant, PR has been reporting the expenditure incurred by SRs and not the disbursements made to SRs by PR under this line. Savings at SRs are mainly on account of lower per centre cost incurred for setting up/ strengthening of CCCs and ICTCs, and fewer ART centres set up. Excess expenditure at PR level is mainly on account of bulk procurement of drugs on account of higher no. of PLHAs on ART.
2a. Pharmaceuticals	\$ 7,339,782		\$ 12,034,662		
2b. Health products, commodities and equipment	\$ 643,533		\$ 2,444,028		

2.3.4. Cumulative Program Budget, Expenditures and Disbursement to Date



2.3.5. Summary of Financial Accountability Issues from PR Annual Audit Report

Date Received		Expected Date	15.Dec.08
Period Covered From	01.Apr.07	To	31.Mar.08

The final TGF specified audit report is expected.

2.4. Progress Update and Disbursement Information

Rating	Description
A1	Exceeding expectations
A2	Meeting expectations
B1	Adequate
B2	Inadequate but potential demonstrated

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C

Unacceptable

Progress Updates					Disbursement Information					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date	
0			N/A	1	01.Jul.07 - 31.Dec.07	16,731,833		\$ 16,731,833	28 Sep 2007	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement					
This is the first disbursement request, therefore no Progress Update is available.					No variance					

PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date	
1	01.Jul.07 - 31.Dec.07			2.1	01.Jan.08 - 30.Jun.08	14,285,083			N/A	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement					
The rating is combined with the rating of PU3.					Funds were not disbursed in August 2008 as programmatic data were only available for the period up until December 2008. PR was requested to provide more up to date information based on which a disbursement decision was to be made. The DR is combined with DR4.					

PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date	
2	01.Jan.08 - 30.Jun.08			2	01.Jul.08 - 31.Dec.08	20,976,232			N/A	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement					
<p>The rating is combined with the rating of PU3. The performance of the program implemented by the National AIDS Control Organization (NACO) of the Government of India to date is very good. 9 out of 13 indicators either fully achieve or significantly overachieve the targets. The PR is accelerating the program implementation by establishing additional ART and Community Care Centers (CCC) and putting more people on treatment. "Number of people currently receiving ART" is 17,970 against the target of 14,000 (128%). Number of People Living with HIV/AIDS (PLHA) provided care at CCC is 55,051 against the target of 7,000 (786%). Establishment and operationalization of additional ART centers in Period 4 also led to overachievement in "Number of ART staff and CCC trained in counseling services and referral" (342 against the target of 90, i.e. 380%). Achievement for "Number of PLHAs on ART issued smart cards" is at 0%, due to delay in implementation of Health Smart Card initiative. However, PR expects to see the results against this indicator by the end of the next semester period. Achievement for "Number of OI cases treated" is at 40% due to the initial delays with the developing the reporting system. The reporting system is finally under way and PR expects the substantial increase of the results in the forthcoming reporting period.</p>					<p>Funds were not disbursed as programmatic data were only available for the period up until June 2008. PR was requested to provide more up to date information based on which a disbursement decision will be made in April 2009. The DR is combined with DR4.</p>					

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PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request		Disbursement Amount	Disbursement Date
3	01.Jul.08 - 31.Dec.08		B1	4	01.Jan.09 - 29.Jun.09	52,339,408		\$ 42,395,481	29 Jun 2009

Summary of Progress	Reasons for variance between PR Request and Actual Disbursement
<p>The performance of the program implemented by the National AIDS Control Organization (NACO) of the Government of India is very good. As of 31 December 2008, 9 out of 13 indicators were either fully achieved or significantly over-achieved the targets. The PR is accelerating program implementation. The grant quantitative indicator rating is B1. Top 10 indicators rating is A1 with the average performance of 102%. All indicator rating is A2 with the average performance of 96%. "Number of people (adults) currently receiving ART" is 40,232 against the target of 34,000 (after adjusting the baseline) (i.e. 118% of the target). Higher achievement on this indicator is due to various factors, including a higher awareness among general population, increased referrals from ICTCs to ART centres, increased training of staff, and what seems to be a reduction in stigma. Number of People Living with HIV/AIDS (PLHA) provided care at CCC is 53,313 against the target of 10,500 in all states (508% of the target capped at 120% by the new grant rating methodology). Establishment and operationalization of additional ART centers in Period 4 also led to overachievement in "Number of ART staff and CCC trained in counseling services and referral" (548 against the target of 130, i.e. 422%). Achievement for "Number of PLHAs on ART issued smart cards" is at 0%, due to delay in implementation of Health Smart Card initiative. The SR selected for the implementation had to be changed due to the failure to deliver and the initiative is to be implemented in Phase 2. Achievement for "Number of OI cases treated" is at 59% due to the initial delays with the developing a adequate reporting system. This reporting system is finally under way and PR expects the substantial increase of the results in the forthcoming reporting periods. The PR also is making the efforts aimed at training staff and increasing the awareness among PLHAs that should impact the performance of this indicator. The number of new ART centers established (R6 states only) is only 18 against the target of 22, as the PR experienced general problems with infrastructure and staffing. 3 new ART centers have been established in January 2009, while another 8 ART centers are in different stages of recruitment, training of staff & refurbishment. Certain ICTC have been functioning both as ICTC and ART centers, which has enhanced the treatment achievements.</p>	<p>Main reasons for reduction in the recommended disbursement amount are:</p> <ul style="list-style-type: none"> - LFA adjustments in expenditure reported by the PR for quarter 5 and 6 - Exclusion of cost of smart cards from the forecasted budget for quarter 7 & 8 - Exclusion of the forecasted cash requirement for quarter 9 (buffer quarter), which falls in Phase 2

2.5. Contextual Information	
Title	Explanatory Notes